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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	619.739
First Inventor	Seelig et al.
Title	Robot Indicator Having an Animated Figure
Express Mail Label No.	EV 258467993 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231																																							
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 77] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 30]</p> <p>5. Oath or Declaration [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p>																																							
ACCOMPANYING APPLICATION PARTS																																									
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Transmittal Letter Other:</p>																																									
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) Prior application information: Examiner Jessica J. Harrison of prior application No.: 10/309,736 Group Art Unit: 3714</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>																																									
<p>19. CORRESPONDENCE ADDRESS</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; padding: 2px;"><input checked="" type="checkbox"/> Customer Number or Bar Code Label</td> <td style="width: 45%; padding: 2px; text-align: center;">21,704 (Insert Customer No. or Attach bar code label here)</td> <td style="width: 30%; padding: 2px; text-align: right;">or <input type="checkbox"/> Correspondence address below</td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; padding: 2px;">Name</td> <td colspan="4" style="width: 75%; padding: 2px;">Ian F. Burns & Associates</td> </tr> <tr> <td style="width: 25%; padding: 2px;">Address</td> <td colspan="4" style="width: 75%; padding: 2px;">1575 Delucchi Lane Suite 222</td> </tr> <tr> <td style="width: 25%; padding: 2px;">City</td> <td style="width: 25%; padding: 2px; text-align: center;">Reno</td> <td style="width: 15%; padding: 2px;">State</td> <td style="width: 15%; padding: 2px; text-align: center;">NV</td> <td style="width: 15%; padding: 2px; text-align: center;">Zip Code</td> <td style="width: 15%; padding: 2px; text-align: center;">89502</td> </tr> <tr> <td style="width: 25%; padding: 2px;">Country</td> <td style="width: 25%; padding: 2px; text-align: center;">US</td> <td style="width: 15%; padding: 2px;">Telephone</td> <td style="width: 15%; padding: 2px; text-align: center;">775-826-6160</td> <td style="width: 15%; padding: 2px;">Fax</td> <td style="width: 15%; padding: 2px; text-align: center;">775-828-1651</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Name (Print/Type)</td> <td colspan="3" style="padding: 2px;">Registration No. (Attorney/Agent)</td> <td style="padding: 2px; text-align: center;">51,795</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Signature</td> <td colspan="3" style="padding: 2px;"></td> <td style="padding: 2px; text-align: center;">Date</td> <td style="padding: 2px; text-align: center;">1/12/2004</td> </tr> </table>				<input checked="" type="checkbox"/> Customer Number or Bar Code Label	21,704 (Insert Customer No. or Attach bar code label here)	or <input type="checkbox"/> Correspondence address below	Name	Ian F. Burns & Associates				Address	1575 Delucchi Lane Suite 222				City	Reno	State	NV	Zip Code	89502	Country	US	Telephone	775-826-6160	Fax	775-828-1651	Name (Print/Type)		Registration No. (Attorney/Agent)			51,795	Signature					Date	1/12/2004
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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U.S. PTO

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FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

820.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Jerald C. Seelig
Examiner Name	
Art Unit	
Attorney Docket No.	619.739

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit Account:

Deposit Account Number
50-0913
Deposit Account Name
Ian F. Burns & Associates

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY			
Name (Print/Type)	Ryan A. Heck	Registration No. (Attorney/Agent)	51,795
Signature	/	Telephone	775-826-6160

(Complete if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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IAN F. BURNS & ASSOCIATES, P.C.

Intellectual Property Law

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‡Admitted in Texas & Nevada
‡Admitted in Ohio & Kentucky

January 13, 2004

Mail Stop CONTINUATION-IN-PART PATENT APPLICATION.
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

22264 U.S. PTO
10/757613
011304


In re application of: Jerald C. Seelig et al.
Title: GAMING DEVICE HAVING AN ANIMATED FIGURE
Attorney docket number: 619.739 ACC.CIP-Robot Indicator

Dear Sir:

The following is/are submitted to the Patent and Trademark Office for appropriate action:

1. A Utility Application Transmittal (1 page).
2. A Continuation-in-part Patent Application (77 pages).
3. Thirty (30) sheets of drawings.
4. A Declaration for Utility Patent Application (3 pages).
5. A Fee Transmittal (1 page).
6. A Credit Card Payment Form (1 page).
7. A return receipt postcard.

Cordially yours,

Ryan A. Heck
Registration Number: 51,795

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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Transmitted by facsimile to the Patent and Trademark Office.

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Signature

Name _____ Date _____